

CMU - SCS  
15-415/15-615 Database Applications  
Spring 2013, C. Faloutsos  
Homework 6: Query Optimization + Schema  
Refinement  
**Deadline: 1:30pm on Tuesday, 3/26/2013**

**Reminders - IMPORTANT:**

- Like all homeworks, it has to be done **individually**.
- Please **typeset** your answers. Illegible handwriting may get no points, at the discretion of the graders. Only drawings may be hand-drawn, as long as they are neat and legible.
- Please submit your answers in **hard copy, in class**, 1:30pm, on Tuesday, 03/26/2013 .
- For ease of grading, please solve each of the three questions on a **separate** page, i.e., three pages in total for this homework. If you need more pages for one problem, please staple them together. Type your **name and andrew ID on each** of the three pages. FYI, we will have three labeled piles at the front of the classroom, one for each problem.

**Reminders - FYI:**

- Weight: 5% of homework grade.
- The points of this homework add up to 100.
- Rough time estimates: 2-4 hours.

## Q1. Query Optimization - Selectivities, 30 pts - SUBMIT ON SEPARATE PAGE

Consider the tables `WORKS_AT(SSN, gymID)` and `GYM(gymID, name)`, which are simplified versions of the tables in the Gym database of the 1st homework.

`WORKS_AT(SSN, gymID)` consists of  $N_1 = 100,000$  tuples and has

- $V(SSN, WORKS\_AT) = 50,000$  distinct values of SSN
- $V(gymID, WORKS\_AT) = 20,000$  distinct values of gymID.

`GYM(gymID, name)` consists of  $N_1 = 40,000$  tuples and has

- $V(gymID, GYM) = 20,000$  distinct values of gymID
- $V(name, GYM) = 30,000$  distinct values of name.

For all the computations below, please give numerical answers, accurate up to the fourth significant digit. No need for explanations.

Q1.1 [5 pts] Estimate the number of qualifying tuples of the query:

```
SELECT *
FROM WORKS_AT
WHERE SSN = 123456789;
```

Q1.2 [5 pts] Can SSN be a candidate key for the table `WORKS_AT`? Give a short explanation for your answer.

Q1.3 [5 pts] Estimate the number of qualifying tuples of the query:

```
SELECT *
FROM GYM
WHERE name = "Gym_planet";
```

Q1.4 [5 points] Estimate the number of qualifying tuples of the query:

```
SELECT *
FROM WORKS_AT
WHERE SSN = 123456789 AND gymID=101;
```

Q1.5 [5 points] Estimate the number of qualifying tuples of the query:

```
SELECT SSN, GYM.gymID, name
FROM WORKS_AT JOIN GYM
WHERE GYM.gymID = WORKS_AT.gymID;
```

Q1.6 [5 points] Estimate the number of qualifying tuples of the query:

```
SELECT WA1.SSN, WA2.SSN
FROM WORKS_AT AS WA1 JOIN WORKS_AT AS WA2
WHERE WA1.gymID = WA2.gymID;
```

## Q2. Functional Dependencies, 30pts - SUBMIT ON SEPARATE PAGE

**Q2.1** Consider the relation schema  $R = \{P, Q, S, T, U, V\}$  and the functional dependencies  $FD = \{PQ \rightarrow S, PS \rightarrow Q, PT \rightarrow U, Q \rightarrow T, QS \rightarrow P, U \rightarrow V\}$ . Answer the following Yes/No or True/False questions. Give short answers whenever asked to do so.

**Negative points for wrong answers. Explanations are optional and will be used for partial credit.**

2.1.1 [2 pts] Yes/No. Is FD minimum cover?

2.1.1 [4 pts] Yes/No. Is the decomposition  $\{PQ, QS, PQTU, UV\}$  lossless?

2.1.2 [4 pts] Somebody claims that the decomposition  $\{PQ, QS, PQTU, UV\}$  is not dependency-preserving. If you agree with the statement, give all the missing dependencies. If you disagree, just state so.

2.1.3 [5 pts] Yes/No. Is the decomposition  $\{PQS, PSTU, PTV\}$  lossless?

2.1.4 [1 pts] True/False. The decomposition  $\{PQS, PSTU, PTV\}$  is not dependency-preserving, because it does not preserve only  $U \rightarrow V$ .

2.1.5 [2 pts] True/False. The decomposition  $\{PQS, PSTU, PTV\}$  is not dependency-preserving, because it does not preserve both  $U \rightarrow V$  and  $Q \rightarrow T$ .

2.1.6 [2 pts] True/False. The decomposition  $\{PQS, PSTU, PTV\}$  is dependency-preserving.

**Q2.2** Consider now the same relation schema  $R = \{P, Q, S, T, U, V\}$  with simpler functional dependencies  $FD' = \{Q \rightarrow ST, P \rightarrow T, PS \rightarrow T, QU \rightarrow V\}$ . Answer the following True/False questions.

2.2.1 [1 pts] True/False. The attribute closure  $\{P\}^+$  is  $\{P, S, T\}$ .

2.2.2 [1 pts] True/False. The attribute closure  $\{P\}^+$  is  $\{P, T\}$ .

2.2.3 [1 pts] True/False. The attribute closure  $\{P, Q\}^+$  is  $\{P, T, Q, S\}$ .

2.2.4 [1 pts] True/False. The attribute closure  $\{P, Q\}^+$  is  $\{P, S, T\}$ .

2.2.4 [1 pts] True/False. The attribute closure  $\{P, Q\}^+$  is  $\{P, T, Q, S, U, V\}$ .

2.2.5 [1 pts] True/False. The dependency  $Q \rightarrow S$  can be deduced from  $FD'$ .

2.2.6 [2 pts] Show that the dependency  $QU \rightarrow TUV$  can be deduced from  $FD'$ .

2.2.7 [2 pts] True/False. All the candidate keys of  $R$  are  $\{P, Q\}$ .

### Q3. BCNF and 3NF, 40pts - SUBMIT ON SEPARATE PAGE

Consider the relation schema  $R = \{P, Q, S, T, U, V\}$  and the functional dependencies  $FD = \{PQ \rightarrow S, PS \rightarrow Q, PT \rightarrow U, Q \rightarrow T, QS \rightarrow P, U \rightarrow V\}$ . **Notice that these are different functional dependencies** than the ones given in Q2. Consider also the relation schemas  $R1 = \{P, Q, S\}$ ,  $R2 = \{P, Q, S, U, V\}$ , and  $R3 = \{P, Q, S, T\}$ .

**Negative points for wrong answers. Explanations are optional and will be used for partial credit.**

- Q3.1 [2 pts] Write the projection of the FDs on  $R1$ .
- Q3.2 [2 pts] True/False. The set of dependencies given in Q3.1 is a minimal cover.
- Q3.3 [4 pts] True/False.  $R1$  is in 3NF.
- Q3.4 [4 pts] True/False.  $R1$  is in BCNF.
- Q3.5 [2 pts]. Write the projection of the FDs on  $R2$ .
- Q3.6 [4 pts] True/False. All the keys are  $\{PQU, QSU\}$ .
- Q3.7 [4 pts]. True/False.  $R2$  is in BCNF.
- Q3.8 [4 pts] True/False. The decomposition of  $R2$   $\{PQU, PQS, UV\}$  is in BCNF.
- Q3.9 [2 pts]. Write the projection of the FDs on  $R3$ .
- Q3.10 [2 pts] True/False. The keys of  $R3$  are  $\{PQ, QS, PS\}$ .
- Q3.11 [4 pts]  $R3$  is *not* in BCNF. Give all the dependencies that violate the BCNF.
- Q3.12 [2 pts] True/False.  $R3$  is in 1NF.
- Q3.13 [4 pts] True/False. The decomposition of  $R3$  to  $\{PQS, QT\}$  is in BCNF.