The Relational Model

CMU SCS 15-415/615 C. Faloutsos – A. Pavlo Lecture #3 R & G, Chap. 3

Roadmap



- Introduction
- Integrity constraints (IC)
- Enforcing IC
- Querying Relational Data
- ER to tables
- Intro to Views
- Destroying/altering tables

Faloutsos - Pavlo, 15-415/615

2

Why Study the Relational Model?

- Most widely used model.
 - Vendors: IBM/Informix, Microsoft, Oracle, Sybase, etc.
- "Legacy systems" in older models
 - e.g., IBM's IMS
- Object-oriented concepts have merged in
 - object-relational model
 - Informix->IBM DB2, Oracle

Faloutsos - Pavlo, 15-415/615

3

Relational Database: Definitions

- Relational database: a set of relations
- (relation = table)
- specifically

sid	name	login	age	gpa
53666	Jones	jones@cs	18	3.4
53688	Smith	smith@cs	18	3.2
53650	Smith	smith@math	19	3.8

Faloutsos - Pavlo, 15-415/615

Relational Database: Definitions

- *Relation:* made up of 2 parts:
 - Schema: specifies name of relation, plus name and type of each column.
 - Instance : a table, with rows and columns.
 - #rows = *cardinality*
 - #fields = degree / arity

₹{

sid	name	login	age	gpa
53666	Jones	jones@cs	18	3.4
53688	Smith	smith@cs	18	3.2
53650	Smith	smith@math	19	3.8

Faloutsos - Pavlo, 15-415/615

Relational Database: Definitions

- relation: a *set* of rows or *tuples*.
 - all rows are distinct
 - no order among rows (why?)

sid	name	login	age	gpa
53666	Jones	jones@cs	18	3.4
53688	Smith	smith@cs	18	3.2
53650	Smith	smith@math	19	3.8

Faloutsos - Pavlo, 15-415/615

Ex: Instance of Students Relation

sid	name	login	age	gpa
53666	Jones	jones@cs	18	3.4
53688	Smith	smith@cs	18	3.2
53650	Smith	smith@math	19	3.8

- Cardinality = 3, arity = 5,
- all rows distinct
- Q: do values in a column need to be distinct?

Faloutsos - Pavlo, 15-415/615

SQL - A language for Relational DBs

- SQL* (a.k.a. "Sequel"), standard language
- Data Definition Language (DDL)
 - create, modify, delete relations
 - specify constraints
 - administer users, security, etc.
 - E.g.: create table student (ssn fixed, name char(20));

* Structured Query Language

Faloutsos - Pavlo

SQL - A language for Relational DBs

- Data Manipulation Language (DML)
 - Specify *queries* to find tuples that satisfy criteria
 - add, modify, remove tuples

```
select * from student ;
```

update takes set grade=4
 where name='smith'
 and cid = 'db';

Faloutsos - Pavlo, 15-415/615

,

SQL Overview

- CREATE TABLE <name> (<field> <domain>, ...)
- INSERT INTO <name> (<field names>)

VALUES (<field values>)

• DELETE FROM <name>
WHERE <condition>

Faloutsos - Pavlo, 15-415/615

10

SQL Overview

```
• UPDATE <name>
```

SET <field name> =

<value>

WHERE <condition>

• SELECT <fields>



FROM <name>

WHERE <condition>

Faloutsos - Pavlo. 15-415/615

Creating Relations in SQL

Creates the Students relation.

```
CREATE TABLE Students
  (sid CHAR(20),
   name CHAR(20),
  login CHAR(10),
  age INTEGER,
  gpa FLOAT)
```

Faloutsos - Pavlo. 15-415/615

Creating Relations in SQL

Creates the Students relation.

 Note: the type (domain) of each field is specified, and enforced by the DBMS whenever tuples are added or modified.

CREATE TABLE Students
(sid CHAR(20),
name CHAR(20),
login CHAR(10),
age INTEGER,
gpa FLOAT)

Faloutsos - Pavlo, 15-415/615

13

Table Creation (continued)

Another example:

```
CREATE TABLE Enrolled
  (sid CHAR(20),
    cid CHAR(20),
    grade CHAR(2))
```

Faloutsos - Pavlo, 15-415/615

14

Adding and Deleting Tuples

• Can insert a single tuple using:

```
INSERT INTO Students
(sid, name, login, age, gpa)
  VALUES
('53688', 'Smith', 'smith@cs',
18, 3.2)
```

Faloutsos - Pavlo, 15-415/615

15

Adding and Deleting Tuples

• 'mass'-delete (all Smiths!):

```
PELETE
FROM Students S
WHERE S.name = 'Smith'
```

Faloutsos - Pavlo, 15-415/615

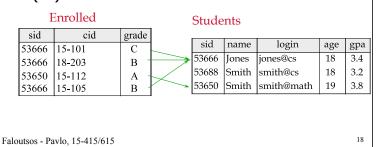
Roadmap

- Introduction
- Integrity constraints (IC)
- Enforcing IC
- Querying Relational Data
- ER to tables
- Intro to Views
- Destroying/altering tables

Faloutsos - Pavlo, 15-415/615

Keys

- Keys help associate tuples in different relations
- Keys are one form of integrity constraint (IC)



(Motivation:)

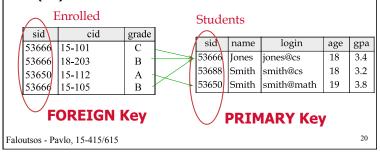
- In flat files, how would you check for duplicate ssn, in a student file?
- (horror stories, if ssn is duplicate?)

sid	name	login	age	gpa
53666	Jones	jones@cs	18	3.4
53688	Smith	smith@cs	18	3.2
53650	Smith	smith@math	19	3.8

Faloutsos - Pavlo, 15-415/615

Keys

- Keys help associate tuples in different relations
- Keys are one form of integrity constraint (IC)



Primary Keys

- A set of fields is a superkey if:
 - No two distinct tuples can have same values in all key fields
- A set of fields is a key for a relation if :
 - -minimal superkey

Student (ssn, name, address)

{ssn,name}: superkey

{ssn}: superkey, AND key

{name}: not superkey

Faloutsos - Pavlo, 15-415/615

21

Primary Keys

what if >1 key for a relation?

Faloutsos - Pavlo, 15-415/615

22

Primary Keys

- what if >1 key for a relation?
 - one of the keys is chosen (by DBA) to be the *primary key*. Other keys are called *candidate* keys..
 - −O: example of >1 superkeys?

Faloutsos - Pavlo. 15-415/615

23

Primary Keys

- what if >1 key for a relation?
 - one of the keys is chosen (by DBA) to be the *primary key*. Other keys are called *candidate* keys..
 - -Q: example of >1 superkeys?

 - -A2: Employee: {ssn}, {phone#}, {room#}
 - -A3: computer: {mac-address}, {serial#}

Faloutsos - Pavlo, 15-415/615

Primary Keys

- E.g.
 - *sid* is a key for Students.
 - -What about *name*?
 - −The set { sid, gpa} is a superkey.

Faloutsos - Pavlo, 15-415/615

25

27

Syntax:

CREATE TABLE Enrolled (sid CHAR(20) cid CHAR(20), grade CHAR(2))

Faloutsos - Pavlo, 15-415/615

26

Syntax:

```
CREATE TABLE Enrolled
(sid CHAR(20)
cid CHAR(20),
grade CHAR(2),
PRIMARY KEY (sid,cid))
```

PRIMARY KEY == UNIQUE, NOT NULL

Faloutsos - Pavlo, 15-415/615

Drill:

```
CREATE TABLE Enrolled CREATE TABLE Enrolled (sid CHAR(20) (sid CHAR(20), cid CHAR(20), grade CHAR(2), PRIMARY KEY (sid,cid))

CREATE TABLE Enrolled (sid CHAR(20), grade CHAR(20), grade CHAR(20), grade CHAR(2), UNIQUE (cid, grade))
```

Faloutsos - Pavlo, 15-415/615

415/615

CREATE TABLE Enrolled (sid CHAR(20) cid CHAR(20), VS. grade CHAR(2), PRIMARY KEY (sid,cid)) Q: what does this mean?

Foreign Keys **Enrolled** Students sid grade name login age gpa 53666 C ~ 15-101 53666 Iones iones@cs 18 3.4 53666 18-203 В 53688 18 3.2 53650 15-112 Smith smith@cs Α. 19 3.8 53666 15-105 В -53650 Smith smith@math 31 Faloutsos - Pavlo, 15-415/615

Primary and Candidate Keys in SQL

```
CREATE TABLE Enrolled
CREATE TABLE Enrolled
                               (sid CHAR(20)
   (sid CHAR(20)
                               cid CHAR(20),
   cid CHAR(20),
                                grade CHAR(2),
   grade CHAR(2),
                               PRIMARY KEY
                                              (sid).
   PRIMARY KEY (sid,cid))
                               UNIQUE (cid, grade))
                               "Students can take only
                               one course, and no two
                               students in a course
                               receive the same grade."
Faloutsos - Pavlo, 15-415/615
```

Foreign Keys, Referential Integrity

- <u>Foreign key</u>: Set of fields `refering' to a tuple in another relation.
 - Must correspond to the primary key of the other relation.
 - -Like a `logical pointer'.
- foreign key constraints enforce <u>referential integrity</u> (i.e., no dangling references.)

Faloutsos - Pavlo. 15-415/615

Foreign Keys in SQL

Example: Only existing students may enroll for courses.

- *sid* is a foreign key referring to Students:

Enrolled

sid	cid	grade		Stude	nts			
53666	15-101	С ^	/	sid	name	login	age	gpa
53666	18-203	В -		53666	Jones	jones@cs	18	3.4
53650	15-112	Α _		53688	Smith	smith@cs	18	3.2
53666	15-105	В /		53650	Smith	smith@math	19	3.8

Faloutsos - Pavlo, 15-415/615

33

Foreign Keys in SQL

CREATE TABLE Enrolled (sid CHAR(20),cid CHAR(20),grade CHAR(2), PRIMARY KEY (sid,cid), FOREIGN KEY (sid) REFERENCES Students)

Enrolled

LIHOIR	Ju	
sid	cid	grade
53666	15-101	C -
53666	18-203	В
53650	15-112	Α
53666	15-105	В
,		

Students

	, total cittle								
sid	name	login	age	gpa					
53666	Jones	jones@cs	18	3.4					
53688	Smith	smith@cs	18	3.2	l				
53650	Smith	smith@math	19	3.8	l				

Faloutsos - Pavlo, 15-415/615

34

Roadmap

- Introduction
- Integrity constraints (IC)
- Enforcing IC
- Querying Relational Data
- ER to tables
- Intro to Views
- Destroying/altering tables

Faloutsos - Pavlo, 15-415/615



Enforcing Referential Integrity

- Subtle issues:
- What should be done if an Enrolled tuple with a non-existent student id is inserted?

Enrolled

sid	cid	grade	
53666	15-101	С ~	
53666	18-203	В -	
53650	15-112	Α _	_/
53666	15-105	B /	

Students

	sid	name	login	age	gpa
È	53666	Jones	jones@cs	18	3.4
	53688	Smith	smith@cs	18	3.2
*	53650	Smith	smith@math	19	3.8

Faloutsos - Pavlo, 15-415/615

Enforcing Referential Integrity

- Subtle issues:
- What should be done if an Enrolled tuple with a non-existent student id is inserted? (Reject it!)

sid	cid	grade		Stude				
53666	15-101	C ~		sid	name	login	age	gpa
53666	18-203	В -	\Rightarrow	53666	Jones	jones@cs	18	3.4
53650	15-112	Α _		53688	Smith	smith@cs	18	3.2
53666	15-105	B /	\rightarrow	53650	Smith	smith@math	19	3.8
			1	l	!			

Enforcing Referential Integrity

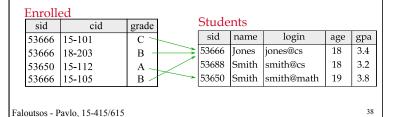
- Subtle issues, cont'd:
- What should be done if a Students tuple is deleted?
 - Also delete all Enrolled tuples that refer to it?
 - Disallow deletion of a Students tuple that is referred to?
 - Set sid in Enrolled tuples that refer to it to a *default* sid?
 - (In SQL, also: Set sid in Enrolled tuples that refer to it to a special value *null*, denoting `*unknown*' or `*inapplicable*'.)

Faloutsos - Pavlo. 15-415/615

39

Enforcing Referential Integrity

- Subtle issues, cont'd:
- What should be done if a Student's tuple is deleted?



Enforcing Referential Integrity

 Similar issues arise if primary key of Students tuple is updated.

Faloutsos - Pavlo, 15-415/615

Integrity Constraints (ICs)

- IC: condition that must be true for any instance of the database; e.g., domain constraints.
 - ICs are specified when schema is defined.
 - ICs are checked when relations are modified.

Faloutsos - Pavlo, 15-415/615

41

Integrity Constraints (ICs)

- A *legal* instance of a relation: satisfies all specified ICs.
 - DBMS should not allow illegal instances.
- we prefer that ICs are enforced by DBMS (as opposed to ?)
 - -Blocks data entry errors, too!

Faloutsos - Pavlo, 15-415/615

42

Where do ICs Come From?

Faloutsos - Pavlo, 15-415/615

43

Where do ICs Come From?

• the application!

Faloutsos - Pavlo, 15-415/615

Where do ICs Come From?

- Subtle point: We can check a database instance to see if an IC is violated, but we can NEVER infer that an IC is true by looking at an instance.
 - An IC is a statement about *all possible* instances!
 - Eg., name is not a key,
 - but the assertion that *sid* is a key is given to us.

sid	name	login	age	gpa
53666	Jones	jones@cs	18	3.4
53688	Smith	smith@cs	18	3.2
53650	Smith	smith@math	19	3.8

Faloutsos - Pavlo, 15-415/615

45

Where do ICs Come From?

• Key and foreign key ICs are the most common; more general ICs supported too.

Faloutsos - Pavlo, 15-415/615

46

Roadmap

- Introduction
- Integrity constraints (IC)
- Enforcing IC
- Querying Relational Data
- ER to tables
- Intro to Views
- Destroying/altering tables

Faloutsos - Pavlo, 15-415/615

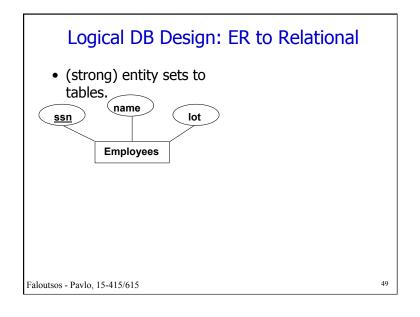
47

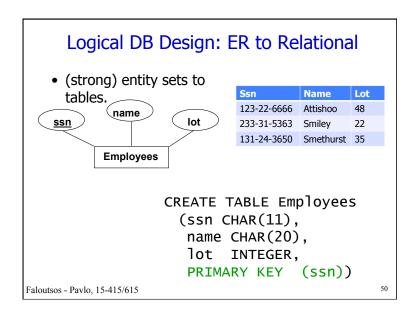
ER to tables outline:

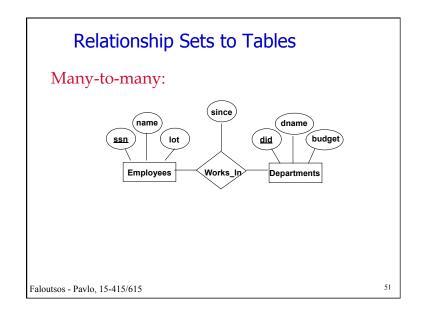
- strong entities
- weak entities
- (binary) relationships
 - 1-to-1, 1-to-many, etc
 - total/partial participation
- ternary relationships
- ISA-hierarchies
- · aggregation

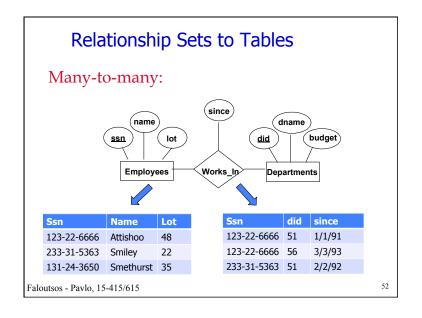


Faloutsos - Pavlo, 15-415/615









Relationship Sets to Tables

- key of many-to-many relationships:
 - Keys from participating entity sets (as foreign keys).

CREATE TABLE Works_In(ssn CHAR(11),did INTEGER, since DATE,

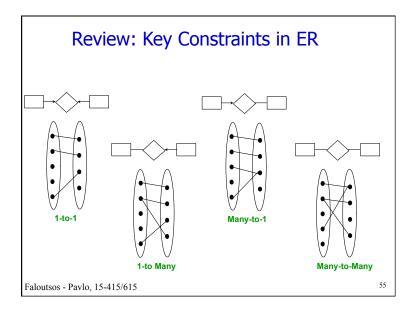
PRIMARY KEY (ssn, did), FOREIGN KEY (ssn) REFERENCES Employees,

FOREIGN KEY (did) REFERENCES Departments)

Ssn	did	since
123-22-6666	51	1/1/91
123-22-6666	56	3/3/93
233-31-5363	51	2/2/92

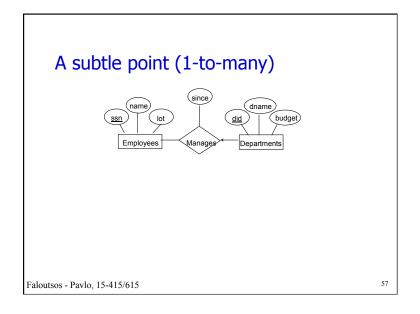
Faloutsos - Pavlo, 15-415/615

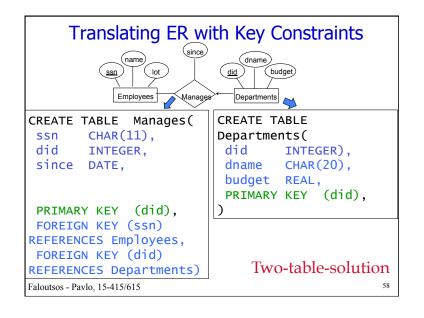
Review: Key Constraints in ER • 1-to-many: name dname budget ssn **Employees** Faloutsos - Pavlo, 15-415/615 54

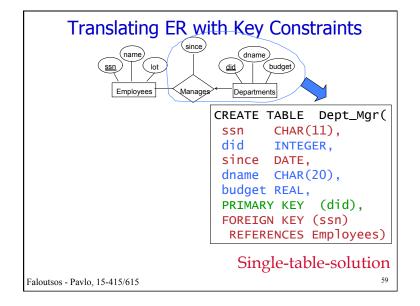


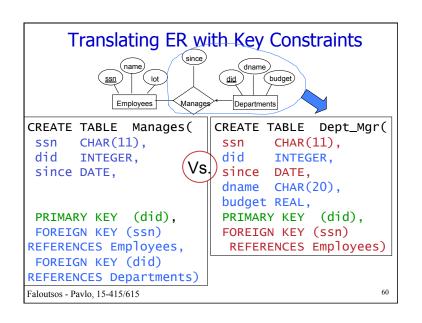
ER to tables - summary of basics

- strong entities:
 - key -> primary key
- (binary) relationships:
 - get keys from all participating entities pr. key:
 - 1-to-1 -> either key (other: 'cand. key')
 - 1-to-N -> the key of the 'N' part
 - M-to-N -> both keys









Pros and cons?

Faloutsos - Pavlo, 15-415/615

,

Drill:

What if the toy department has no manager (yet) ?

```
CREATE TABLE Dept_Mgr(
did INTEGER,
dname CHAR(20),
budget REAL,
ssn CHAR(11),
since DATE,
PRIMARY KEY (did),
FOREIGN KEY (ssn)
REFERENCES Employees)
```

Faloutsos - Pavlo, 15-415/615

Drill:

What if the toy department has no manager (yet) ?

A: one-table solution can not handle that.

(ie., helps enforce 'thick arrow' – see

next)

Faloutsos - Pavlo, 15-415/615

CREATE TABLE Dept_Mgr(
did INTEGER,
dname CHAR(20),
budget REAL,
ssn CHAR(11),
since DATE,
PRIMARY KEY (did),
FOREIGN KEY (ssn)
REFERENCES Employees)

ER to tables outline:

- **✓** strong entities
- weak entities
- (binary) relationships
 - ✓ 1-to-1, 1-to-many, etc– total/partial participation
- ternary relationships
- ISA-hierarchies
- aggregation

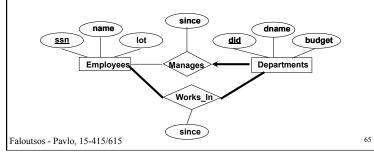


Faloutsos - Pavlo, 15-415/615

64

Review: Participation Constraints

- Does every department have a manager?
 - If so, this is a <u>participation constraint</u>: the participation of Departments in Manages is said to be <u>total</u> (vs. <u>partial</u>).
 - Every *did* value in Departments table must appear in a row of the Manages table (with a non-null *ssn* value!)



Participation Constraints in SQL

 We can capture participation constraints involving one entity set in a binary relationship, but little else (without resorting to CHECK constraints).

```
CREATE TABLE Dept_Mgr(
    did INTEGER,
    dname CHAR(20),
    budget REAL,
    ssn CHAR(11) NOT NULL,
    since DATE,
    PRIMARY KEY (did),
    FOREIGN KEY (SSN) REFERENCES Employees,
        ON DELETE NO ACTION)

Faloutsos - Pavlo, 15-415/615

66
```

Participation Constraints in SQL

- Total participation ('no action' -> do NOT do the delete)
- Ie, a department MUST have a nanager

```
CREATE TABLE Dept_Mgr(
    did INTEGER,
    dname CHAR(20),
    budget REAL,
    ssn CHAR(11) NOT NULL,
    since DATE,
    PRIMARY KEY (did),
    FOREIGN KEY (ssn) REFERENCES Employees,
        ON DELETE NO ACTION)

Faloutsos - Pavlo, 15-415/615
```

Participation Constraints in SQL

Partial partipation, ie, a department may be headless

```
CREATE TABLE Dept_Mgr(
    did INTEGER,
    dname CHAR(20),
    budget REAL,
    ssn CHAR(11) NO NULL,
    since DATE,
    PRIMARY KEY (did),
    FOREIGN KEY (SSN) REFERENCES Employees,
        ON DELETE (SET NULL)

Faloutsos - Pavlo, 15-415/615
```

ER to tables outline:

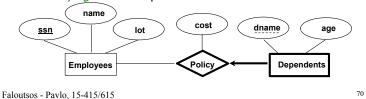
- **✓** strong entities
- weak entities
 - (binary) relationships
 - 1-to-1, 1-to-many, etc total/partial participation
 - ternary relationships
 - ISA-hierarchies
 - aggregation

Faloutsos - Pavlo, 15-415/615

69

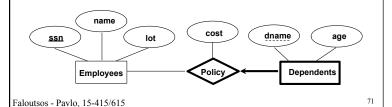
Review: Weak Entities

- A weak entity can be identified uniquely only by considering the primary key of another (owner) entity.
 - Owner entity set and weak entity set must participate in a one-to-many relationship set (1 owner, many weak entities).
 - Weak entity set must have total participation in this identifying relationship set.



Review: Weak Entities

How to turn 'Dependents' into a table?



Translating Weak Entity Sets

 Weak entity set and identifying relationship set are translated into a single table.

```
CREATE TABLE Dep_Policy (
dname CHAR(20),
age INTEGER,
Cost REAL,
SSN CHAR(11) NOT NULL,
PRIMARY KEY (dname, ssn),
FOREIGN KEY (ssn) REFERENCES Employees,
ON DELETE CASCADE)

Faloutsos - Pavlo, 15-415/615

72
```

Translating Weak Entity Sets

- Weak entity set and identifying relationship set are translated into a single table.
 - When the owner entity is deleted, all owned weak entities must also be deleted (-> `CASCADE')

```
CREATE TABLE Dep_Policy (
   dname CHAR(20),
   age INTEGER,
   cost REAL,
   ssn CHAR(11) NOT NULL,
   PRIMARY KEY (dname, ssn),
   FOREIGN KEY (ssn) REFERENCES Employees,
      ON DELETE CASCADE)
```

Faloutsos - Pavlo, 15-415/615

73

ER to tables outline:

strong entities
weak entities

(binary) relationships

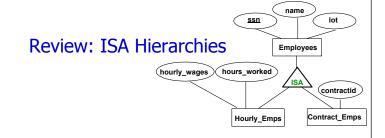
✓ 1-to-1, 1-to-many, etc
✓ total/partial participation

- ternary relationships
- →• ISA-hierarchies
 - · aggregation

Faloutsos - Pavlo, 15-415/615

74

76



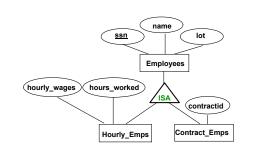
- Overlap constraints: Can Joe be an Hourly_Emps as well as a Contract_Emps entity? (Allowed/disallowed)
- Covering constraints: Does every Employees entity also have to be an Hourly_Emps or a Contract_Emps entity? (Yes/no)

Faloutsos - Pavlo, 15-415/615

75

Drill:

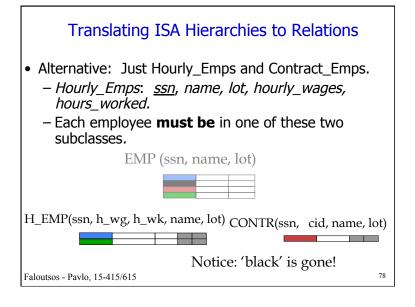
What would you do?

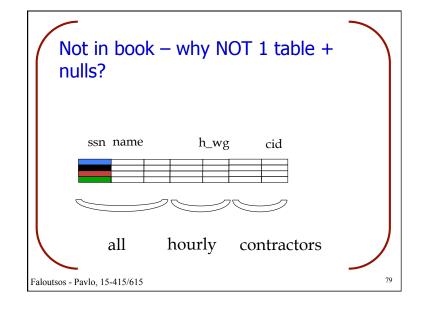


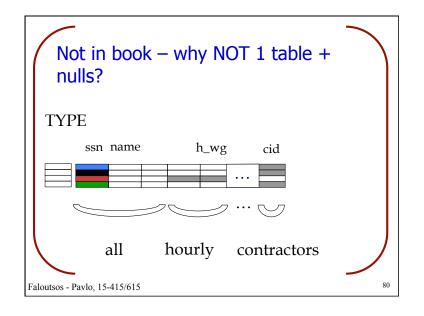
Faloutsos - Pavlo, 15-415/615

77

Translating ISA Hierarchies to Relations General approach: 3 relations: Employees, Hourly_Emps and Contract_Emps. how many times do we record an employee? what to do on deletion? how to retrieve all info about an employee? EMP (ssn, name, lot) H_EMP(ssn, h_wg, h_wk) CONTR(ssn, cid)







ER to tables outline:

- **✓** strong entities
- weak entities
- (binary) relationships
 - ✓ 1-to-1, 1-to-many, etc ✓ total/partial participation
- ternary relationships
 ISA-hierarchies
 - aggregation

Faloutsos - Pavlo, 15-415/615

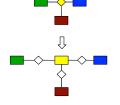
8

Ternary relationships; aggregation

- rare
- · keep keys of all participating entity sets

(or: avoid such situations:

break into 2-way relationships or add an auto-generated key



Faloutsos - Pavlo, 15-415/615

82

Roadmap

- Introduction
- Integrity constraints (IC)
- Enforcing IC
- Querying Relational Data
- ER to tables
- Intro to Views
- Destroying/altering tables

Faloutsos - Pavlo, 15-415/615

83

Views

Virtual tables

CREATE VIEW YoungActiveStudents (name,grade)

AS SELECT S.name, E.grade

FROM Students S, Enrolled E WHERE S.sid=E.sid and S.age<21

• DROP VIEW

Views and Security

- DBA: grants authorization to a view for a user
- · user can only see the view nothing else

Faloutsos - Pavlo, 15-415/615

85

Roadmap

- Introduction
- Integrity constraints (IC)
- Enforcing IC
- Querying Relational Data
- ER to tables
- Intro to Views
- Destroying/altering tables



Faloutsos - Pavlo, 15-415/615

86

Table changes

- DROP TABLE
- ALTER TABLE, e.g.

ALTER TABLE students
ADD COLUMN maiden-name CHAR(10)

Faloutsos - Pavlo, 15-415/615

87

Relational Model: Summary

- A **tabular** representation of data.
- Simple and intuitive; widely used
- Integrity constraints can be specified by the DBA, based on customer specs. DBMS checks for violations.
 - Two important ICs: **primary** and **foreign** keys
 - also: not null, unique
 - In addition, we *always* have domain constraints.
- **Mapping** from ER to Relational is (fairly) straightforward:

Faloutsos - Pavlo, 15-415/615

ER to tables - summary of basics • strong entities: - key -> primary key • (binary) relationships: - get keys from all participating entities - pr. key: - 1:1 -> either key - 1:N -> the key of the 'N' part - M:N -> both keys • weak entities: - strong key + partial key -> primary key - ON DELETE CASCADE Faloutsos - Pavlo, 15-415/615

ER to tables - summary of advanced

- total/partial participation:
 - NOT NULL; ON DELETE NO ACTION



- ternary relationships:
 - get keys from all; decide which one(s) -> prim. key
- aggregation: like relationships
- ISA:
 - 2 tables ('total coverage')
 - 3 tables (most general)

